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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/637,138	08/11/2000	Brian Povolny	2101329-991100	7183

23879 7590 02/07/2007
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EXAMINER

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ART UNIT	PAPER NUMBER
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3626

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	02/07/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Office Action Summary	Application No. 09/637,138	Applicant(s) POVOLNY ET AL.	
	Examiner Mike Tomaszewski	Art Unit 3626	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 15 November 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) See Continuation Sheet is/are pending in the application.
- 4a) Of the above claim(s) 37, 38, 58, 59 and 86 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 35, 36, 39, 42, 44-47, 49-53, 55-57, 60-64, 66-77, 79, 81-85, 87, 92-94 and 96-101 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 23 April 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

Continuation of Disposition of Claims: Claims pending in the application are 35,36,39,42,44-47,49-53,55-57,60-64,66-77,79,81-85,87,92-94 and 96-101.

DETAILED ACTION

Notice To Applicant

1. This communication is in response to the amendment filed on 11/15/06. Claims 1-34, 40-41, 43, 48, 54, 65, 80, 88-91 and 95 have been cancelled. Claims 37, 38, 58, 59, 78 and 86 have been withdrawn. Claims 35, 39 and 55 have been amended. Claims 35-36, 39, 42, 44-47, 49-53, 55-57, 60-64, 66-77, 79, 81-85, 87, 92-94 and 96-101 remain pending.

Specification

2. Examiner notes that Applicant had provided sufficient support for claims 35, 56 and 95. As such, the new matter objections pertaining to claims 35, 56 and 95 are hereby withdrawn.

Claim Rejections - 35 USC § 112

3. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

4. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

5. Applicant has amended claims 39 and 55 so that they now depend from pending claims. As such, the 35 U.S.C. 112 rejections with respect to claims 39 and 55 are hereby withdrawn.

Claim Rejections - 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 35-36, 39, 42, 55-57, 60-64, 71-77, 79, 81-85, 87, 92-93, 98-101 are rejected under 35 U.S.C. 103(a) as being unpatentable over Evans (5,924,074; hereinafter Evans), in view of Halamka ("Virtual Consolidation of Boston's Beth Israel and New England Deaconess Hospitals via the World Wide Web"; hereinafter Halamka) and in view of Joao (6,283,761; hereinafter Joao).

(A) As per currently amended claim 35, Evans discloses a method of collecting and presenting patient data, the method comprising:

- (1) receiving a data request via a secure publicly accessible network connection (Evans: abstract; col. 12, line 55-col. 13, line 30; col. 15, lines 11-33; Fig. 1-24);
- (2) determining access status (Evans: col. 15, lines 15-35); and
- (3) selecting records on the at least one database that satisfy the data request and are accessible (Evans: col. 6, lines 37-47; col. 15, lines 15-35; Fig. 6); and
- (4) presenting data from one or more selected data fields on the at least one database in accordance with one or more objects or templates via the secure publicly accessible network connection (Evans: col. 6, lines 6-55).

Evans, however, fails to *expressly* disclose a method of collecting and presenting patient data, the method comprising:

- (5) querying at least one database from a plurality of databases ~~for~~ via a database connectivity module, the plurality of databases containing medical records data, appointment scheduling data, and billing data pertaining to the patient;

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- (6) receiving a data request from a patient;
- (7) determining the access status of the patient;
- (8) records are accessible to the patient; and
- (9) presenting data to the patient.

Nevertheless, these features are old and well known in the art, as evidenced by Halamka and Joao. In particular, Halamka and Joao disclose

- (5) querying at least one database from a plurality of databases ~~for~~ via a database connectivity module (Halamka: pg. 1-5), the plurality of databases containing medical records data, appointment scheduling data, and billing data pertaining to the patient (Joao: abstract; col. 16, line 32-col. 20, line 27; Fig. 1-15B);
- (6) receiving a data request from a patient (Joao: abstract; col. 12, lines 51-58; col. 13, line 29-col. 15, line 58; Fig. 1-15B);
- (7) determining the access status of the patient (Joao: abstract; col. 4, lines 6-11; col. 7, lines 16-23; Fig. 1-15B);
- (8) records are accessible to the patient (Joao: abstract; col. 23, line 60-col. 24, line 11; Fig. 1-15B); and
- (9) presenting data to the patient (Joao: abstract; col. 12, lines 51-58; col. 13, line 29-col. 15, line 58; Fig. 1-15B).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Halamka with the combined teachings of Evans and Joao with the motivation of consolidating clinical information from heterogeneous sources (Halamka: pg. 1).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Joao with the combined teachings of Evans and Halamka with the motivation of providing a means for processing and providing healthcare and/or healthcare-related information (Joao: col. 7, lines 61-64).

(B) As per previously presented claim 36, Evans fails to *expressly* disclose the method of claim 35, wherein the at least one database includes a legacy database.

Nevertheless, these features are old and well known in the art, as evidenced by Halamka. In particular, Halamka discloses the method of claim 35, wherein the at least one database includes a legacy database (Halamka: pg. 1).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Halamka with the combined teachings of Evans and Joao with the motivation of consolidating clinical information from heterogeneous sources (Halamka: pg. 1).

(C) As per currently amended claim 39, Evans fails to *expressly* disclose the method of claim 38, wherein the data from the at least one database are presented to the

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patient without modifying the at least one database and without redesigning the at least one database.

Nevertheless, these features are old and well known in the art, as evidenced by Halamka and Joao. In particular, Halamka and Joao disclose the method of claim 38, wherein

- (1) the data from the at least one database are presented without modifying the at least one database and without redesigning the at least one database (Halamka: pg. 1-5); and
- (2) the data are presented to the patient (Joao: abstract; col. 4, lines 6-11; col. 7, lines 16-23; Fig. 1-15B).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Halamka with the combined teachings of Evans and Joao with the motivation of consolidating clinical information from heterogeneous sources (Halamka: pg. 1).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Joao with the combined teachings of Evans and Halamka with the motivation of providing a means for processing and providing healthcare and/or healthcare-related information (Joao: col. 7, lines 61-64).

(D) As per previously presented claim 42, Evans discloses the method of claim 35, wherein the step of presenting the data comprises presenting the selected data fields

with one or more objects or templates in a form chosen from the group consisting of clinical records, digital images, treatment records, diagnoses, treatment plans, educational information regarding treatment, appointments, recalls, bills, patient payments and charges, insurance payments and charges, no shows, greetings, prescriptions, referrals, and referral reports (Evans: abstract; Fig. 1-24).

Evans, however, fails to *expressly* disclose the method of claim 35, wherein data is presented to the patient.

Nevertheless, these features are old and well known in the art, as evidenced by Joao. In particular, Joao discloses the method of claim 35, wherein data is presented to the patient (Joao: abstract; col. 4, lines 6-11; col. 7, lines 16-23; Fig. 1-15B).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Joao with the combined teachings of Evans and Halamka with the motivation of providing a means for processing and providing healthcare and/or healthcare-related information (Joao: col. 7, lines 61-64).

(E) As per currently amended claim 55, Evans discloses the method of claim ~~54~~ 35, wherein the at least one database is not designed for presenting data to the recipient from one or more selected data fields on the at least one database in accordance with one or more objects or templates via a medium determined by the recipient (Evans: abstract; col. 6, lines 6-55; col. 15, lines 15-35; Fig. 1-24).

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(F) Previously presented claim 56 substantially repeats the same limitations as those in claim 35 and is therefore, rejected for the same reasons given for claim 35 and incorporated herein.

(G) Previously presented claims 57 and 60 substantially repeat the same limitations as those in claims 36 and 39, respectively, and therefore, are rejected for the same reasons given for those claims and incorporated herein.

(H) As per previously presented claim 61, Evans fails to *expressly* disclose the system of claim 56, wherein the database connectivity module can connect to a plurality of heterogeneous, cross-platform databases.

Nevertheless, these features are old and well known in the art, as evidenced by Halamka. In particular, Halamka discloses the system of claim 56, wherein the database connectivity module can connect to a plurality of heterogeneous, cross-platform databases (Halamka: pg. 1-5).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Halamka with the combined teachings of Evans and Joao with the motivation of consolidating clinical information from heterogeneous sources (Halamka: pg. 1).

(I) As per previously presented claim 62, Evans fails to *expressly* disclose the system of claim 61, wherein the heterogeneous, cross-platform data bases comprise a

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plurality of databases having a plurality of database infrastructure constraints and a plurality of software constraints.

Nevertheless, these features are old and well known in the art, as evidenced by Halamka. In particular, Halamka discloses the system of claim 61, wherein the heterogeneous, cross-platform data bases comprise a plurality of databases having a plurality of database infrastructure constraints and a plurality of software constraints (Halamka: pg. 1-5).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Halamka with the combined teachings of Evans and Joao with the motivation of consolidating clinical information from heterogeneous sources (Halamka: pg. 1).

(J) As per previously presented claim 63, Evans discloses the system of claim 56, wherein the system is configured to receive requests for patient data over a web browser through the web server (Evans: abstract; col. 12, line 54-col. 13, line 30; Fig. 1-24).

(K) As per previously presented claim 64, Evans discloses the system of claim 63, wherein the system is configured to deliver data through the web server on the web browser (Evans: abstract; col. 12, line 54-col. 13, line 30; Fig. 1-24).

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(L) As per previously presented claim 71, Evans fails to *expressly* disclose the system of claim 56, wherein the database connectivity module comprises an Open DataBase Connectivity (ODBC) module.

Nevertheless, this feature is old and well known in the art, as evidenced by Halamka. In particular, Halamka discloses the system of claim 56, wherein the database connectivity module comprises an Open DataBase Connectivity (ODBC) module (Halamka: pg. 1-5).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Halamka with the combined teachings of Evans and Joao with the motivation of consolidating clinical information from heterogeneous sources (Halamka: pg. 1).

(M) As per previously presented claim 72, Evans fails to *expressly* disclose the system of claim 56, wherein the at least one database is a provider database and wherein the database connectivity module comprises tools and applications to access the provider database and to take data from the provider database.

Nevertheless, this feature is old and well known in the art, as evidenced by Halamka. In particular, Halamka discloses the system of claim 56, wherein the at least one database is a provider database and wherein the database connectivity module comprises tools and applications to access the provider database and to take data from the provider database (Halamka: pg. 1-5).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Halamka with the combined teachings of Evans and Joao with the motivation of consolidating clinical information from heterogeneous sources (Halamka: pg. 1).

(O) As per previously presented claim 73, Evans fails to *expressly* disclose the system of claim 72, wherein the provider database is a proprietary database having an undocumented interface.

Nevertheless, this feature is old and well known in the art, as evidenced by Halamka. In particular, Halamka discloses the system of claim 72, wherein the provider database is a proprietary database having an undocumented interface (Halamka: pg. 1-5).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Halamka with the combined teachings of Evans and Joao with the motivation of consolidating clinical information from heterogeneous sources (Halamka: pg. 1).

(P) As per previously presented claim 74, Evans fails to *expressly* disclose the system of claim 56, wherein the system is further controlled and configured to collecting patient data.

Nevertheless, this feature is old and well known in the art, as evidenced by Joao. In particular, Joao discloses the system of claim 56, wherein the system is further

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controlled and configured to collecting patient data (Joao: abstract; col. 8, lines 27-34; Fig. 1-15B).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Joao with the combined teachings of Evans and Halamka with the motivation of providing a means for processing and providing healthcare and/or healthcare-related information (Joao: col. 7, lines 61-64).

(Q) As per previously presented claim 75, Evans discloses the system of claim 74, wherein the patient data comprises patient histories, questions for the practitioner, and e-mail addresses, wherein the patient data is collected without burdening a practitioner, and wherein the system is configured to receive the patient data over a designated terminal within an office of the practitioner (Evans: abstract; Fig. 1-15B).

(Q) As per previously presented claim 76, Evans fails to *expressly* disclose the system of claim 75, wherein the system is configured to automatically send customized correspondence to the patient based on the patient data.

Nevertheless, this feature is old and well known in the art, as evidenced by Joao. In particular, Joao discloses the system of claim 75, wherein the system is configured to automatically send customized correspondence to the patient based on the patient data (Joao: abstract; col. 5, lines 7-18; Fig. 1-15B).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Joao with the combined teachings of Evans and Halamka with

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the motivation of providing a means for processing and providing healthcare and/or healthcare-related information (Joao: col. 7, lines 61-64).

(R) Per the previous Office Action, Examiner has taken Official Notice that the limitations recited in claims 75-77, 79, 81-85, and 87 are old and well known in the art.

(S) As per newly added claim 92, Evans discloses a system for collecting and presenting patient data (Evans: abstract), the system comprising:

- (1) a server adapted to:
 - (a) determine access status (Evans: col. 15, lines 15-35).

Evans, however fails to *expressly* disclose the a system for collecting and presenting patient data, the system comprising:

- (2) a web server adapted to send and receive information to/from a patient via a secure publicly accessible network connection;
- (3) a database server adapted to communicate with a plurality of databases containing medical records data, appointment scheduling data, and billing data; and
- (4) an application server operatively coupled to the web server and the database server, the application server adapted to:

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- (b) submit a query to at least one database via the database server based on an information request received from the patient via the web server;
- (c) provide patient access;
- (d) receive data records from the at least one database that satisfy the query and are permitted to be accessed by the patient; and
- (e) present responsive information to the patient via the web server, the responsive information being selected from the data records and formatted in accordance with one or more objects or templates.

Nevertheless, these features are old and well known in the art, as evidenced by Halamka and Joao. In particular, Halamka and Joao disclose the a system for collecting and presenting patient data, the system comprising:

- (2) a web server adapted to send and receive information to/from a patient via a secure publicly accessible network connection (Joao: abstract; col. 12, lines 51-58; col. 13, line 29-col. 15, line 58; Fig. 1-15B);
- (3) a database server adapted to communicate with a plurality of databases containing medical records data, appointment scheduling data, and billing data (Joao: abstract; col. 16, line 32-col. 20, line 27; Fig. 1-15B); and

- (4) an application server operatively coupled to the web server and the database server (Joao: abstract; col. 2, line 64-col. 4, line 26; Fig. 1-15B), the application server adapted to:
 - (b) submit a query to at least one database via the database server based on an information request received from the patient (Joao: abstract; col. 23, line 60-col. 24, line 12; Fig. 1-15B; Examiner notes that Joao's system may be used by patients, among others.) via the web server (Halamka: abstract and pg. 1);
 - (c) provide patient access (Joao: abstract; col. 23, line 60-col. 24, line 12; Fig. 1-15B; Examiner notes that Joao's system may be used by patients, among others.);
 - (d) receive data records from the at least one database that satisfy the query and are permitted to be accessed by the patient (Joao: abstract; col. 12, lines 51-58; col. 13, line 29-col. 15, line 58; Fig. 1-15B); and
 - (e) present responsive information to the patient via the web server, the responsive information being selected from the data records and formatted in accordance with one or more objects or templates (Joao: abstract; col. 12, lines 51-58; col. 13, line 29-col. 15, line 58; Fig. 1-15B).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Halamka with the combined teachings of Evans and Joao with the motivation of consolidating clinical information from heterogeneous sources (Halamka: pg. 1).

Moreover, one of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Joao with the combined teachings of Evans and Halamka with the motivation of providing a means for processing and providing healthcare and/or healthcare-related information (Joao: col. 7, lines 61-64).

(T) Previously presented claim 93 substantially repeats the same limitations as claim 36 and therefore, is rejected for the same reasons given for claim 36 above and incorporated herein.

(U) As per previously presented claim 98, Evans fails to *expressly* disclose the system of claim 97, wherein the patient data comprises at least one of patient health history, questions for a practitioner, and e-mail address.

Nevertheless, these features are old and well known in the art, as evidenced by Joao. In particular, Joao discloses the system of claim 97, wherein the patient data comprises at least one of patient health history, questions for a practitioner, and e-mail address (Joao: abstract; col. 16, lines 33-65; col. 19, lines 54-64).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Joao with the combined teachings of Evans and Halamka with

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the motivation of providing a means for processing and providing healthcare and/or healthcare-related information (Joao: col. 7, lines 61-64).

(V) As per previously presented claim 99, Evans fails to *expressly* disclose the system of claim 92, wherein the application server is further adapted to send customized correspondence to the patient via the web server.

Nevertheless, these features are old and well known in the art, as evidenced by Joao. In particular, Joao discloses the system of claim 92, wherein the application server is further adapted to send customized correspondence to the patient via the web server (Joao: abstract; col. 5, lines 7-19; Fig. 1-15B).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Joao with the combined teachings of Evans and Halamka with the motivation of providing a means for processing and providing healthcare and/or healthcare-related information (Joao: col. 7, lines 61-64).

(W) As per previously presented claim 100, Evans fails to *expressly* disclose the system of claim 99, wherein the customized correspondence includes at least one of billing reminders, appointment reminders, recall reminders, and no show reminders.

Nevertheless, these features are old and well known in the art, as evidenced by Joao. In particular, Joao discloses the system of claim 99, wherein the customized correspondence includes appointment reminders (Joao: abstract; col. 5, lines 7-19; col. 33, lines 15-25; Fig. 1-15B).

The Examiner has noted insofar as claim 100 recites "at least one of billing reminders, appointment reminders, recall reminders, and no show reminders," appointment reminders is recited.

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Joao with the combined teachings of Evans and Halamka with the motivation of providing a means for processing and providing healthcare and/or healthcare-related information (Joao: col. 7, lines 61-64).

(X) As per previously presented claim 101, Evans fails to *expressly* disclose the system of claim 92, wherein the application server is further adapted to receive appointment requests from the patient via the web server.

Nevertheless, these features are old and well known in the art, as evidenced by Joao. In particular, Joao discloses the system of claim 92, wherein the application server is further adapted to receive appointment requests from the patient via the web server (Joao: abstract; col. 5, lines 7-19; col. 31, line 65-col. 33, line col. 33, lines 25; Fig. 1-15B).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Joao with the combined teachings of Evans and Halamka with the motivation of providing a means for processing and providing healthcare and/or healthcare-related information (Joao: col. 7, lines 61-64).

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7. Claims 44-47, 49, 66-70, 94, 96-97 are rejected under 35 U.S.C. 103(a) as being unpatentable over Evans, Halamka and Joao as applied to claims 35, 56 and 92 above, and further in view of Bessette (6,263,330; hereinafter Bessette).

(A) As per previously presented claim 44, Evans discloses the method of claim 35, wherein the step of determining access status comprises matching an identifier and a password to values thereof stored in a password repository (Evans: abstract; col. 14, lines 42-50; col. 15, lines 15-35).

Evans, however, fails to *expressly* disclose the method of claim 35, wherein access status of a patient is determined and wherein the password repository is not associated with the at least one database.

Nevertheless, these features are old and well known in the art, as evidenced by Joao and Bessette. In particular, Joao and Bessette disclose the method of claim 35, wherein:

- (1) access status of a patient is determined (); and
- (2) wherein the password repository is not associated with the at least one database (Bessette: abstract; col. 7, lines 4-12; col. 10, lines 6-18).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Joao with the combined teachings of Evans, Halamka and Bessette with the motivation of providing a means for processing and providing healthcare and/or healthcare-related information (Joao: col. 7, lines 61-64).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Bessette with the combined teachings of Evans, Joao and Halamka with the motivation of increasing system speed and efficiency (Bessette: col. 10, lines 9-16).

(B) As per previously presented claim 45, Evans fails to *expressly* disclose the method of claim 44, wherein the access status is determined without modifying the at least one database.

Nevertheless, these features are old and well known in the art, as evidenced by Bessette. In particular, Bessette discloses the method of claim 44, wherein the access status is determined without modifying the at least one database (Bessette: abstract; col. 7, lines 4-12; col. 10, lines 6-18).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Bessette with the combined teachings of Evans, Joao and Halamka with the motivation of increasing system speed and efficiency (Bessette: col. 10, lines 9-16).

(C) As per previously presented claim 46, Evans fails to *expressly* disclose the method of claim 44, wherein the patient further supplies elements of data in response to queries, which elements are matched against the at least one database entries to grant or deny access and wherein the matching of the elements against the database entries is integrated with, but managed separately from, the at least one database.

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Nevertheless, these features are old and well known in the art, as evidenced by Bessette. In particular, Bessette discloses the method of claim 44, wherein the patient further supplies elements of data in response to queries, which elements are matched against the at least one database entries to grant or deny access and wherein the matching of the elements against the database entries is integrated with, but managed separately from, the at least one database (Bessette: abstract; col. 7, lines 4-12; col. 10, lines 6-18).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Bessette with the combined teachings of Evans, Joao and Halamka with the motivation of increasing system speed and efficiency (Bessette: col. 10, lines 9-16).

(D) As per previously presented claim 47, Evans fails to *expressly* disclose the method of claim 46, wherein the patient is able to issue and maintain its access status without any assistance from a practitioner.

Nevertheless, these features are old and well known in the art, as evidenced by Bessette. In particular, Bessette discloses the method of claim 46, wherein the patient is able to issue and maintain its access status without any assistance from a practitioner (Bessette: abstract; col. 7, lines 4-12; col. 10, lines 6-18).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Bessette with the combined teachings of Evans, Joao and

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Halamka with the motivation of increasing system speed and efficiency (Bessette: col. 10, lines 9-16).

(E) As per previously presented claim 49, Evans fails to *expressly* disclose the method of claim 46, wherein the elements matched against database entries include one or more of birthdate, social security number, and other identifying information, and wherein the step of determining access status of the patient further comprises prompting the patient for additional data until an authenticated, unambiguous match is established.

Nevertheless, these features are old and well known in the art, as evidenced by Joao and Bessette. In particular, Joao and Bessette disclose the method of claim 46, wherein:

- (1) the elements matched against database entries include one or more of birthdate, social security number, and other identifying information, and wherein the step of determining access status further comprises prompting the patient for additional data until an authenticated, unambiguous match is established (Bessette: abstract; col. 7, lines 4-12; col. 10, lines 6-18);
- (2) determining access status of the patient (Joao: abstract; col. 4, lines 6-11; col. 7, lines 16-23; Fig. 1-15B); and
- (3) prompting the patient for additional data (Joao: abstract; col. 4, lines 6-11; col. 7, lines 16-23; Fig. 1-15B).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Joao with the combined teachings of Evans, Halamka and Bessette with the motivation of providing a means for processing and providing healthcare and/or healthcare-related information (Joao: col. 7, lines 61-64).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Bessette with the combined teachings of Evans, Joao and Halamka with the motivation of increasing system speed and efficiency (Bessette: col. 10, lines 9-16).

(F) Previously presented claim 66 has been amended to substantially repeat the same limitations as those of claim 44 and therefore, is rejected for the same reasons given for claim 44 and incorporated herein.

(G) Previously presented claim 67 substantially repeats the same limitations as those of claim 45 and therefore, is rejected for the same reasons given for claim 45 and incorporated herein.

(H) Previously presented claim 68 has been amended to substantially repeat the same limitations as those of claim 46 and therefore, is rejected for the same reasons given for claim 46 and incorporated herein.

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(I) As per previously presented claim 69, Evans fails to *expressly* disclose the system of claim 68, wherein the system is configured to manage the matching of the elements against the database entries away from the at least one database.

Nevertheless, these features are old and well known in the art, as evidenced by Bessette. In particular, Bessette discloses the system of claim 68, wherein the system is configured to manage the matching of the elements against the database entries away from the at least one database (Bessette: abstract; col. 7, lines 4-12; col. 10, lines 6-18).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Bessette with the combined teachings of Evans, Joao and Halamka with the motivation of increasing system speed and efficiency (Bessette: col. 10, lines 9-16).

(J) As per previously presented claim 70, Evans fails to *expressly* disclose the system of claim 68, wherein the elements matched against database entries include one or more of birthdate, social security number, and identifying information, and wherein the system is configured to prompt the user for additional data until an authenticated, unambiguous match is established.

Nevertheless, these features are old and well known in the art, as evidenced by Bessette. In particular, Bessette discloses the system of claim 68, wherein the elements matched against database entries include one or more of birthdate, social security number, and identifying information, and wherein the system is configured to

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prompt the user for additional data until an authenticated, unambiguous match is established (Bessette: abstract; col. 7, lines 4-12; col. 10, lines 6-18).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Bessette with the combined teachings of Evans, Joao and Halamka with the motivation of increasing system speed and efficiency (Bessette: col. 10, lines 9-16).

(K) Previously presented claim 94 substantially repeats the same limitations as claim 44 and therefore, is rejected for the same reasons given for claim 44 above and incorporated herein.

(L) Previously presented claim 96 substantially repeats the same limitations as claims 46-47 and therefore, is rejected for the same reasons given for claims 46-47 above and incorporated herein.

(M) Previously presented claim 97 substantially repeats the same limitations as claim 49 and therefore, is rejected for the same reasons given for claim 49 above and incorporated herein.

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8. Claim 50 is rejected under 35 U.S.C. 103(a) as being unpatentable over Evans, Halamka, and Joao as applied to claims 35, 56 and 92 above, and further in view of Ralston (6,389,454; hereinafter Ralston).

(A) As per previously presented claim 50, Evans discloses the method of claim 35, wherein data within the at least one database includes:

- (1) a schedule of appointments (Evans: col. 5, line 55-col. 6, line 10).

Evans, however, fails to *expressly* disclose the method of claim 35, wherein data within the at least one database includes:

- (2) an array of blocks of time set aside for specific procedures, each of the procedures having a unique scheduling code;
- (3) an array of patients requiring a procedure, each of the procedures having a scheduling codes as specified in (1); and
- (4) wherein a patient requiring a procedure schedules a block of time for the procedure by selecting a block of time having a scheduling code corresponding to the patient's scheduling code.

Nevertheless, these features are old and well known in the art, as evidenced by Ralston. In particular, Ralston discloses the method of claim 35, wherein data within the at least one database includes:

- (2) an array of blocks of time set aside for specific procedures, each of the procedures having a unique scheduling code (Ralston: col. 5, lines 55-60);
- (3) an array of patients requiring a procedure, each of the procedures having a scheduling codes as specified in (1) (Ralston: col. 2, lines 55-64; col. 5, lines 50-60); and
- (4) wherein a patient requiring a procedure schedules a block of time for the procedure by selecting a block of time having a scheduling code corresponding to the patient's scheduling code (Ralston: col. 5, lines 50-66).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Ralston with the combined teachings of Evans, Joao and Halamka with the motivation of ease of scheduling appointments (Ralston: col. 2, lines 50-55).

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9. Claim 51 is rejected under 35 U.S.C. 103(a) as being unpatentable over Evans, Halamka and Joao as applied to claims 35, 56 and 92 above, and further in view of Cummings, Jr. (5,301,105; hereinafter Cummings).

(A) As per previously presented claim 51, Evans discloses the method of claim 35, further comprising:

- (1) billing and payment records (Evans: col. 1, lines 28-30).

Evans, however, fails to *expressly* disclose the method of claim 35, further comprising:

- (2) receiving payment for a health care provider on behalf of a patient;
- (3) posting payment information into patient accounts on the at least one database;
- (4) tracking copayments and deductibles for the patient on the databases;
and
- (5) billing copayment and deductible balances to the patient with an explanation of copayments and deductibles to the patient.

Nevertheless, these features are old and well known in the art, as evidenced by Cummings. In particular, Cummings discloses the method of claim 35, further comprising:

- (1) receiving payment for a health care provider on behalf of a patient
(Cummings: abstract; col. 12, lines 22-32; col. 18, lines 32-35);
- (2) posting payment information into patient accounts on the at least one database (Cummings: abstract; col. 12, lines 22-32; col. 18, lines 32-35);
- (3) tracking copayments and deductibles for the patient on the databases
(Cummings: abstract; col. 12, lines 22-32; col. 18, lines 32-35); and
- (4) billing copayment and deductible balances to the patient with an explanation of copayments and deductibles to the patient (Cummings: abstract; col. 12, lines 22-32; col. 18, lines 32-35).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Cummings with the combined teachings of Evans, Joao and Halamka with the motivation of providing patients with complete and comprehensive health care and payment (Cummings: col. 1, lines 59-60).

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10. Claims 52 and 53 are rejected under 35 U.S.C. 103(a) as being unpatentable over Evans, Halamka, Joao, and Cummings as applied to claim 51 above, and further in view of Sackler (5,235,507; hereinafter Sackler).

(A) As per previously presented claim 52, Evans fails to *expressly* disclose the method of claim 51, wherein the step of tracking copayments and deductibles for the patient comprises grouping and aggregating deductibles and copayments by patient families.

Nevertheless, these features are old and well known in the art, as evidenced by Sackler. In particular, Sackler discloses the method of claim 51, wherein the step of tracking copayments and deductibles for the patient comprises grouping and aggregating deductibles and copayments by patient families (Sackler: col. 2, lines 10-57; col. 3, lines 20-27).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Sackler with the combined teachings of Evans, Joao, Halamka and Cummings with the motivation of handling claims for services more efficiently (Sackler: col. 1, lines 43-44).

(B) As per previously presented claim 53, Evans fails to *expressly* disclose the method of claim 52, further comprising reporting deductibles and copayments grouped and aggregated by patient families to a family member.

Nevertheless, these features are old and well known in the art, as evidenced by Sackler. In particular, Sackler discloses the method of claim 52, further comprising reporting deductibles and copayments grouped and aggregated by patient families to a family member (Sackler: col. 2, lines 10-57; col. 3, lines 20-27).

One of ordinary skill would have found it obvious at the time of the invention to combine the teachings of Sackler with the combined teachings of Evans, Joao, Halamka and Cummings with the motivation of handling claims for services more efficiently (Sackler: col. 1, lines 43-44).

Response to Arguments

11. Applicant's arguments filed 11/15/06 have been fully considered but are not persuasive. Applicant's arguments will be addressed hereinbelow in the order in which they appear in the responses filed 11/15/06.

(A) On pages 15-17 of the 11/15/06 response, Applicant argues that Joao is not effective as a prior art reference because Applicant alleges that Joao was filed several months after Applicant's conceived of and reduced to practice the present invention.

In response, Examiner respectfully submits that the Frith Maier Declaration was carefully considered but was deemed to be insufficient and unpersuasive to overcome the rejections of the prior and current Office Action. See § 13. (A)-(C), *infra*. Moreover,

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Applicant's arguments in support of the Frith Maier Declaration have been fully considered but are not persuasive.

As such, Examiner considers Joao to be an effective prior art reference rendering Applicant's invention obvious, in view of the rejections provided in §§ 6-10, *supra*.

(B) On pages 17-20 of the 11/15/06 response, Applicants argues that the Frith Maier Declaration is sufficient to antedate the Joao reference or, in other words, render the Joao reference ineffective as a prior art reference.

In response, Examiner respectfully submits, as previously mentioned, that Applicant's arguments in support of the Frith Maier Declaration were carefully considered but were deemed to be insufficient and unpersuasive to overcome the rejections of the prior and current Office Action

Declaration/Affidavit

12. The Declaration of Frith Maier was carefully considered and but was deemed to be insufficient and unpersuasive to overcome the rejections of the prior and current Office Action, for the following reasons:

(A) Examiner notes that in assessing the weight to be given expert testimony, the examiner may properly consider, *inter alia*, the following:

- (1) The interest of the expert in the outcome of the case. For example, the fact that affiant is not independent of the inventor or the assignee is relevant to the weight to be given to the affidavit. *Cf. Redac Int'l, Ltd. V. Lotus Development Corp.*, 81 F.3d 1576, 38 USPQ2d 1665 (Fed. Cir. 1996) (holding that applicant committed inequitable conduct when applicant withheld information on significant prior connections with the author of the affidavit – the prior connection was considered material to patentability of the claims.). *See also, Pargon Podiatry Lab., Inc. v. KLM Lab., Inc.*, 948 F.2d 1182, 1191, 25 USPQ2d 1561, 1568 (Fed. Cir. 1993); and
- (2) An inventor's opinion as to the purchaser's reason for buying the product is insufficient to demonstrate a nexus between the sales and the claimed invention. *In re Huang*, 100 F.3d 135, 140, 40 USPQ2d 1685, 1690 (Fed. Cir. 1996). *See* MPEP § 716.

As such, Examiner notes that Mr. Frith Maier is one of the named inventors in the current application and the President of Sesame Communications, the assignee of the current application (Maier: decl. ¶ 3), and therefore, the weight of the declaration is assessed accordingly.

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(B) Examiner notes further that in considering evidence of commercial success and long felt need, evidence should persuasively demonstrate that such success is not the result of heavy promotion or advertising, shift in advertising, consumption by purchasers normally tied to applicant or assignee, or other business events extraneous to the merits of the claimed invention, etc. *In re Mageli*, 470 F.2d 1380, 176 USPQ 305 (CCPA 1973) (conclusory statements or opinions that increased sales were due to the merits of the invention are entitled to little weight); *In re Noznick*, 478 F.2d 1260, 178 USPQ 43 (CPA 1973). See MPEP §§ 716.03 and 716.04.

In the present case, as noted above, there is no clear nexus between the claimed invention and the averred statements. Note, for example, the “central repository” and “linking disparate data” of Mr. Maier’s affidavit—¶ 6 is not present in all claims.

Secondly, it is not clear whether the commercial success was the result of heavy advertising or usage by purchasers normally tied to applicant or assignee.

Thirdly, the “sales figures” of ¶ 8 are not adequately defined—time periods product was marketed and sold; what sales are “normally” expected in the market, etc.

Establishing long-felt need, on the other hand, requires objective evidence that an art recognized problem existed in the art for a long period of time without solution. The relevance of long-felt need and the failure of others to the issue of obviousness depends on several factors.

First, the need must have been a persistent one that was recognized by those of ordinary skill in the art. *In re Gershon*, 372 F.2d 535, 539, 152 USPQ 602, 605 (CCPA 1967) (“Since the alleged problem in this case was first recognized by appellants, and

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others apparently have not yet become aware of its existence, it goes without saying that there could not possibly be any evidence of either a long felt need in the . . . art for a solution to a problem of dubious existence or failure of others skilled in the art who unsuccessfully attempted to solve a problem of which they were not aware.”);

Orthopedic Equipment Co., Inc. v. All Orthopedic Appliances, Inc., 707 F.2d 1376, 217 USPQ 1281 (Fed. Cir. 1983) (Although the claimed invention achieved the desirable result of reducing inventories, there was no evidence of any prior unsuccessful attempts to do so.).

Second, the long-felt need must not have been satisfied by another before the invention by applicant. *Newell Companies v. Kenney Mfg. Co.*, 864 F.2d 757, 768, 9 USPQ2d 1417, 1426 (Fed. Cir. 1988) (Although at one time there was a long-felt need for a “do-it-yourself” window shade material which was adjustable without the use of tools, a prior art product fulfilled the need by using a scored plastic material which could be torn. “[O]nce another supplied the key element, there was no long-felt need or, indeed, a problem to be solved”).)

Third, the invention must in fact satisfy the long-felt need. *In re Cavanagh*, 436 F.2d 491, 168 USPQ 466 (CCPA 1971).

For further guidance, see MPEP § 716.04

(C) Examiner also notes that alleged copying is not persuasive of nonobviousness when the copy is not identical to the claimed product, and the other manufacturer had

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not expended great effort to develop its own solution. *Pentec, Inc. v. Graphic Controls Corp.*, 776 F.2d 309, 227 USPQ 766 (Fed. Cir. 1985). See also *Vandenberg v. Dairy Equipment Co.*, 740 F.2d 1560, 1568, 224 USPQ 195, 199 (Fed. Cir. 1984) (evidence of copying not found persuasive of nonobviousness). See MPEP § 716.

Conclusion

13. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

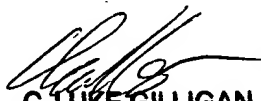
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14. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mike Tomaszewski whose telephone number is (571)272-8117. The examiner can normally be reached on M-F 7:00 am - 3:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph Thomas can be reached on (571)272-6776. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

MT



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